

Devoxx University: Performance Methodology

ORACLE[®]



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DEVOXX[™]
the java™ community conference

Aleksey Shipilev

Speaker Bio

- 7+ years of (Java) Performance
 - 3 years at Intel
 - 4 years at Sun/Oracle

Projects

- Apache Harmony
- Oracle/OpenJDK
- SPECjbb201x
- <https://github.com/shipilev/>

Kirk Pepperdine



Speaker Bio

- 15 year Performance tuning across many industries
 - Background in super and exotic computing platforms
- Helped found www.javaperformancetuning.com
- Developed Java performance seminar (www.kodewerk.com)
- Member of Java Champion program, Netbeans Dream Team
- Recently founded JClarity,
 - a company who's purpose is to redefine performance tooling
 - Invite you to join Friends of JClarity (www.jclarity.com)



Java Performance Tuning
Chania (Crete) Greece
June 25, 2012

```
#include <disclaimer.h>
```

The resemblance of any opinion, recommendation or comment made during this presentation to performance tuning advice is merely coincidental.

Measure Don't Guess

- Hypothesis free investigations
- Progress through a series of steps to arrive at a conclusion

Introduction

Computer Science → Software Engineering

- Way to construct software to meet functional requirements
- Abstract machines
- Abstract and composable, “formal science”

Software Performance Engineering

- “Real world strikes back!”
- Researching complex interactions between hardware, software, and data
- Based on empirical evidence



Benchmarking

Experimental Setup

You can't go any further without the **proper test environment**

- **Relevant:** reproduces the phenomena
- **Isolated:** leaves out unwanted effects
- **Measurable:** provides the metrics
- **Reliable:** produces consistent result



WWW.PHDCOMICS.COM

"Piled Higher and Deeper" by Jorge Cham
www.phdcomics.com

Relevant and Isolated

- Hardware
 - Production like
 - Phantom bottlenecks
 - Quiet
- Software
 - Test harness
 - Load injector and acceptor
- Data
 - Production like in volumes and veracity

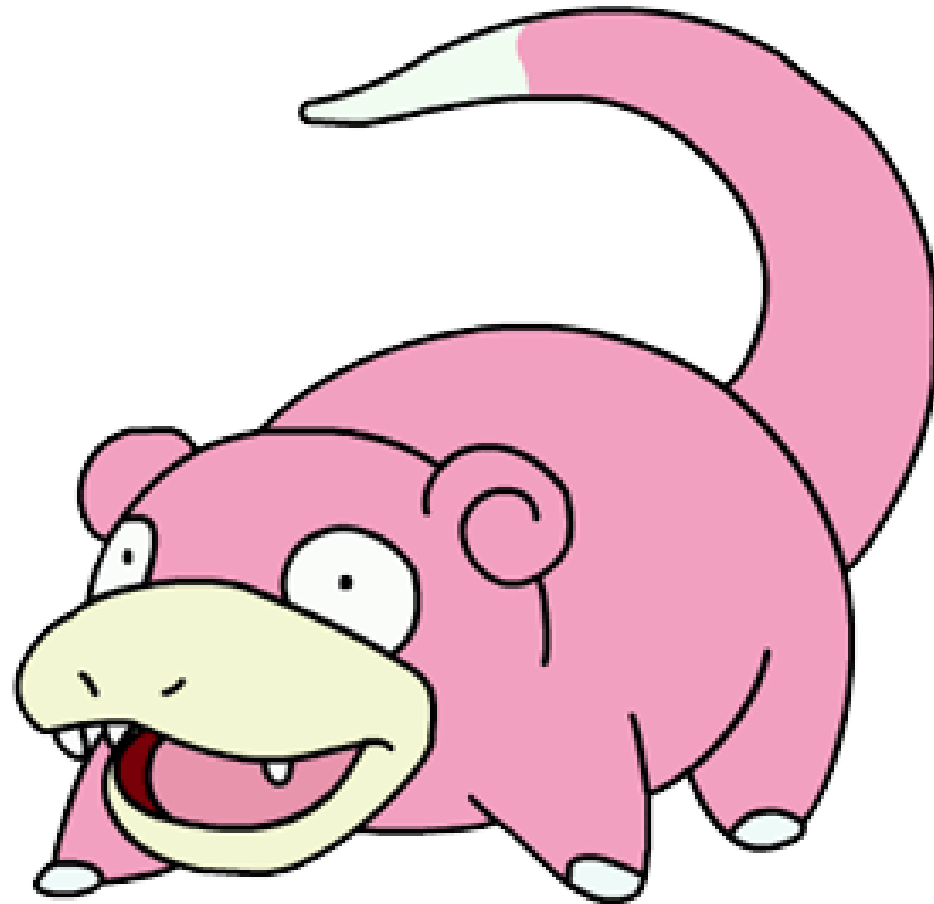
Measurable and Reliable

- Usage Patterns
 - Describes load
 - Use case + number of users and transactional rates, velocity
 - Performance requirements
 - Trigger metric is most likely average response time
- Validation
 - Test the test!
 - Make the sure your bottleneck isn't in the test harness!

Performance Testing Steps

- Script usage patterns into a load test
- Install/configure application to the same specs as production
- Setup monitoring
 - Performance requirements
 - OS performance counters and garbage collection
- Kill everything on your system
- Spike test to ensure correctness
- Load test
- Validate results
- Repeat as necessary

Demo 1



Introducing the test

Metrics

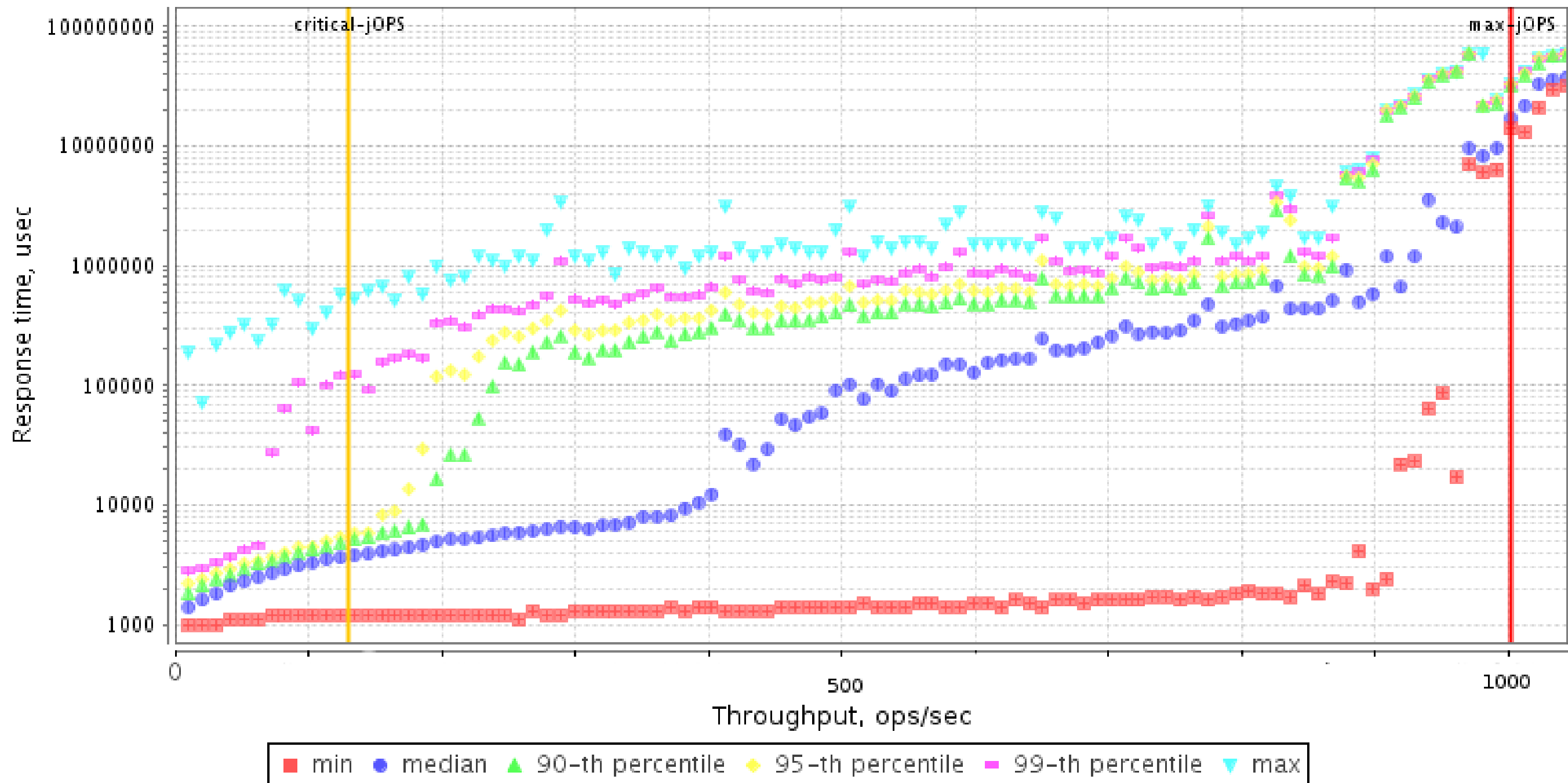
Throughput (Bandwidth)

- How many operations are done per time unit?
- Have many forms: ops/sec, MB/sec, frags/sec
- Easiest to measure
- Easiest to interpret

Time (Latency)

- How much time one operation took?
- Targets many things: latency, response time, startup time
- Generally hard to measure (reliably)

Bandwidth vs. Latency



Source: upcoming SPECjbb2013

Little's Law

The nice artifact of the queuing theory

$$L = \lambda \tau$$

L: number of outstanding requests, concurrency level

λ : throughput

τ : service time

Implications:

- Under the same L, λ is inversely proportional to τ
- Under known λ and τ , you can infer the L

Pop Quiz

Imagine the application with two distinct phases

- **Part A** takes 70% of time, potential speedup = 2x
- **Part B** takes 30% of time, potential speedup = 6x
- Which one to invest in?



70 sec

30 sec

Pop Quiz

Imagine the application with two distinct phases

- **Part A** takes 70% of time, potential speedup = 2x
- **Part B** takes 30% of time, potential speedup = 6x
- Which one to invest in?

Optimize B:



Optimize A:



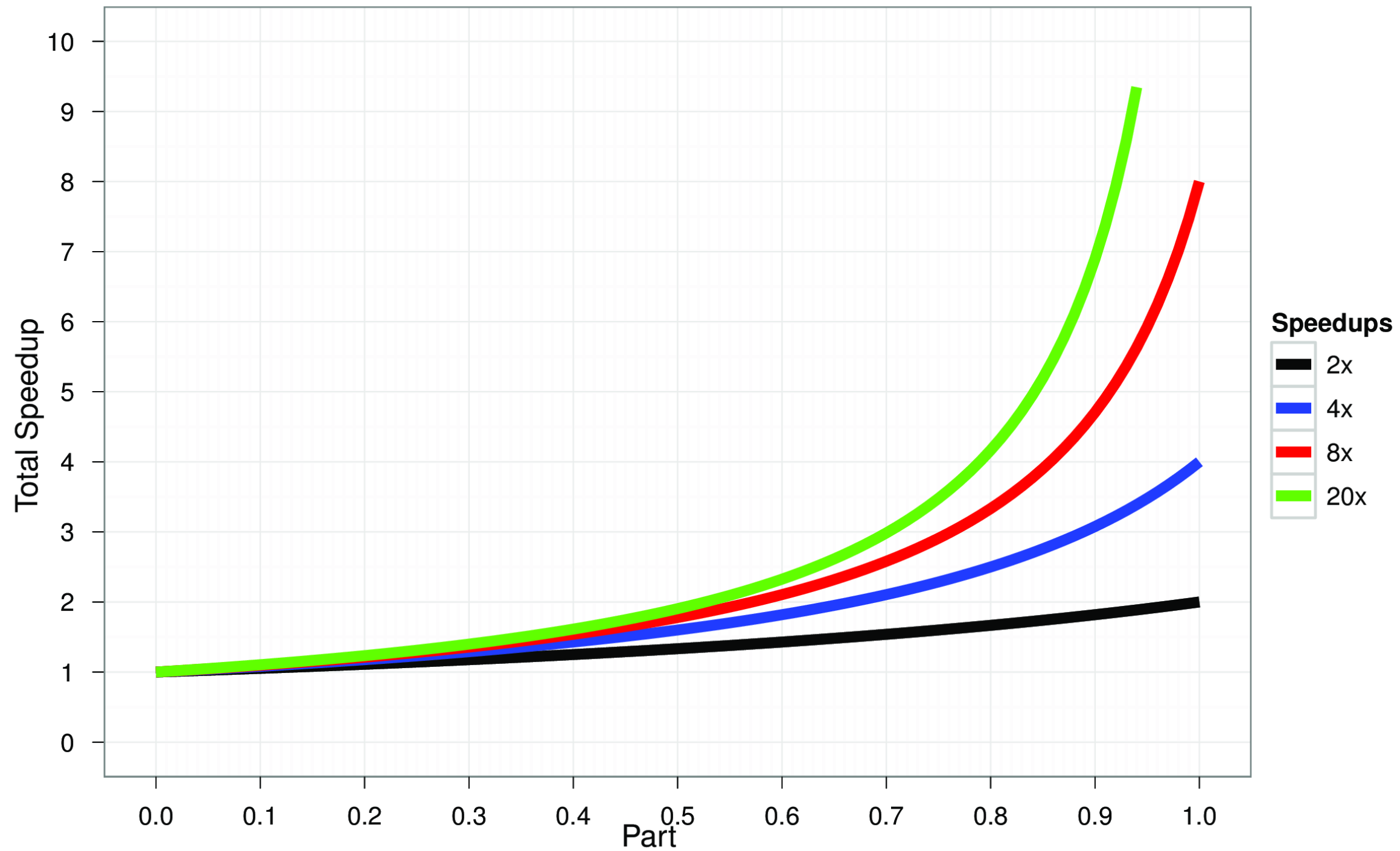
Ahmdal's Law

We can generalize this observation as:

$$Part(A) = \frac{A}{A + B}$$

$$SpeedUp = \frac{1}{(1 - Part(A)) + \frac{Part(A)}{SpeedUp(A)}}$$

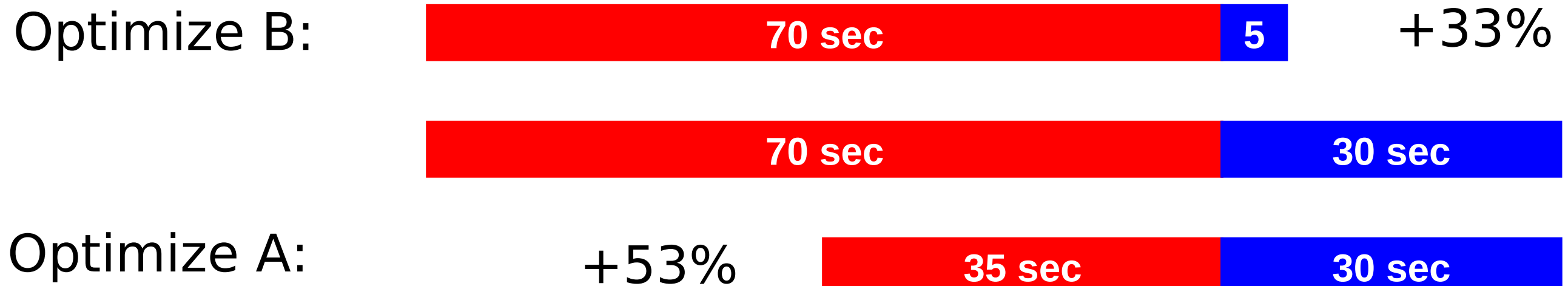
Ahmdal's Law Limits Speedups



Applying Ahmdal's Law

Imagine the application with two distinct phases

- **Part A** takes 70% of time, potential speedup = 2x
- **Part B** takes 30% of time, potential speedup = 6x
- Which one to invest in?



Where Ahmdal's Law Breaks Down

Composability

- Given two functional blocks, A and B
- The difference with executing (A seq B) or (A par B)?

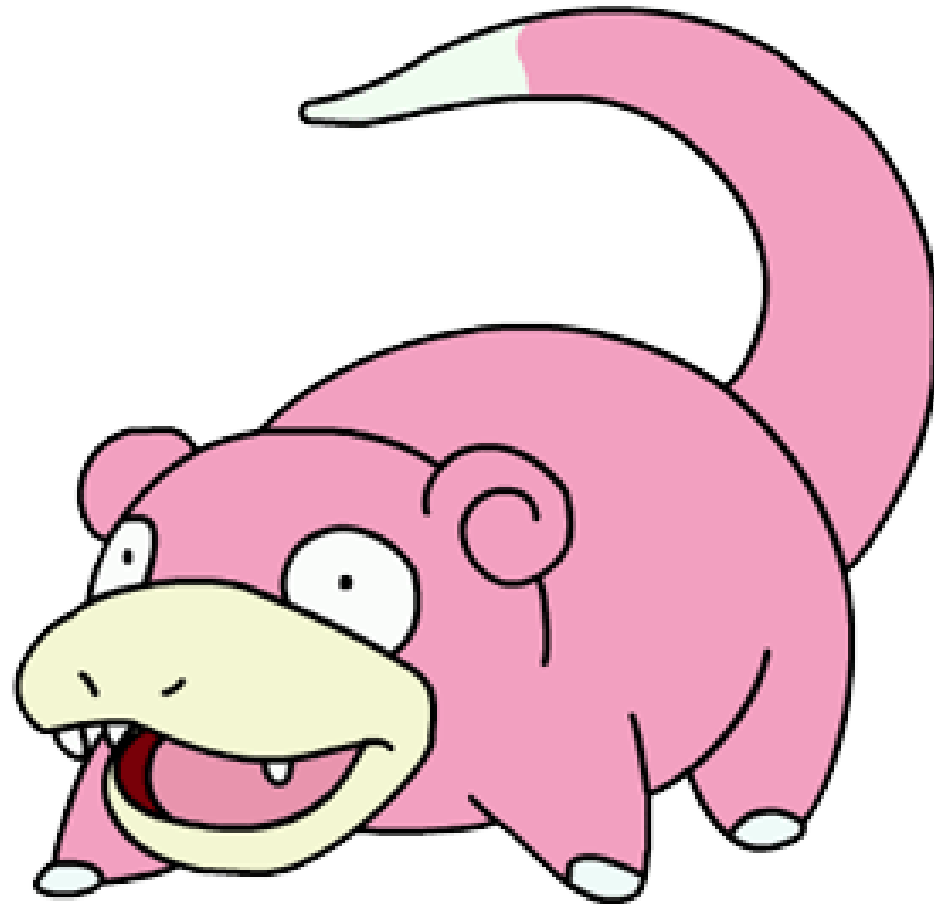
Functional-wise:

- $\text{Result}(A \text{ seq } B) == \text{Result}(A \text{ par } B)$
- “Black box abstraction”

Performance-wise:

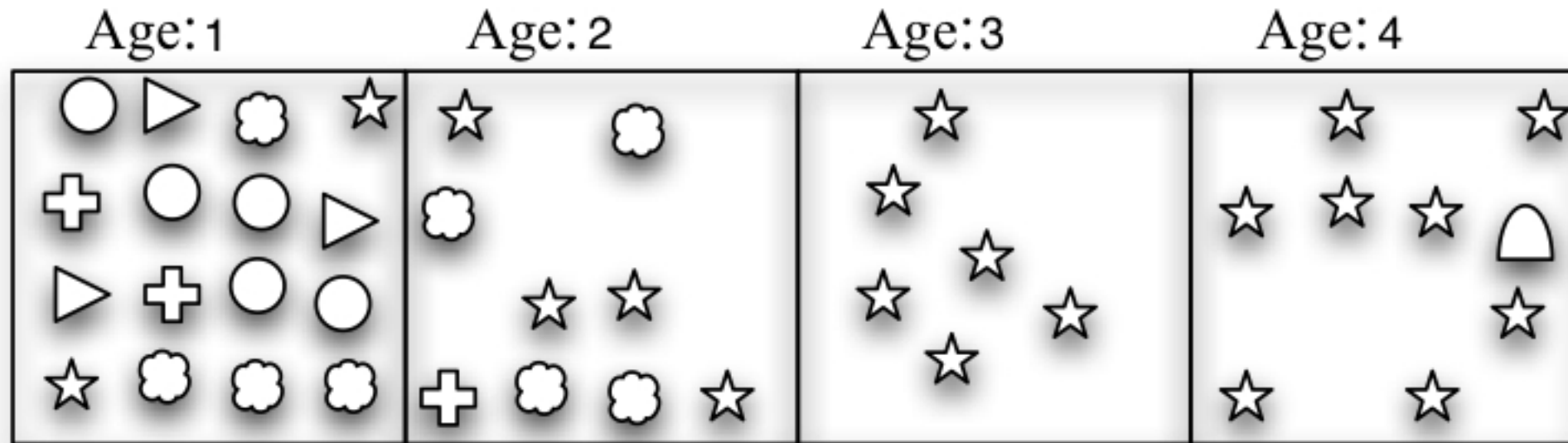
- $\text{Performance}(A \text{ seq } B) \text{ ??? } \text{Performance}(A \text{ par } B)$
- No one really knows!

Demo 2

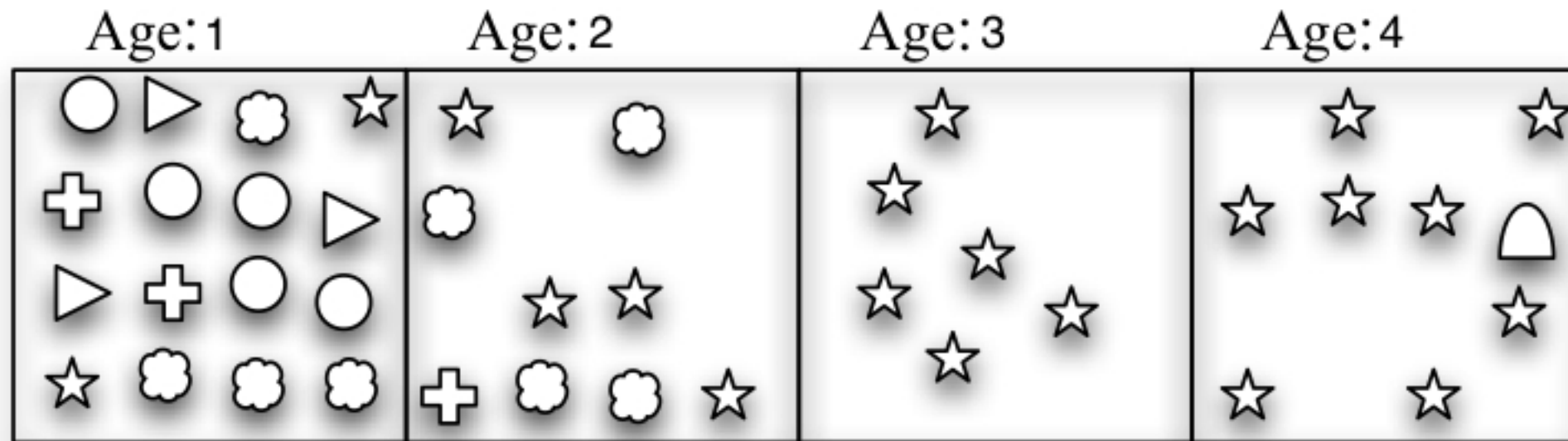


Ensure test is reliable

Generational Counts



Generational Counts (2)



Object	Generations	Count	Classify
○	1	1	Normal
+	1, 2	2	Normal
⤿	4	1	Cached
△	1	1	Normal
⊕	1, 2	2	Normal
☆	1, 2, 3, 4	4	Leak

How to speed up the application?

Change something somewhere in some specific way!

How to speed up the application?

Change something somewhere in some specific way!

**THANKS CAPTAIN
OBVIOUS**



How to speed up the application?

Change something somewhere in some specific way!

- What?

- Where?

- How?

How to speed up the application?

Change something somewhere in some specific way!

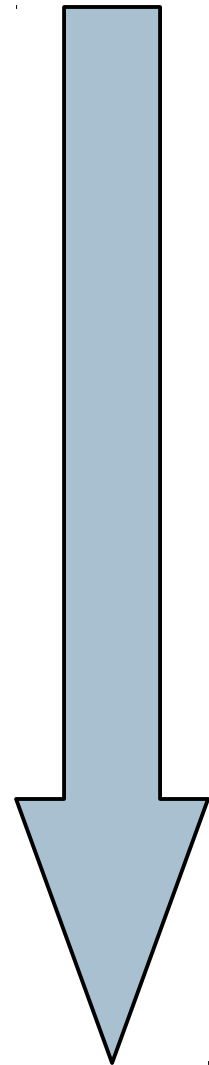
- What prevents the application to work faster?
- Where it resides?
- How to change it to stop messing with performance?

How to speed up the application?

Change something somewhere in some specific way!

- What prevents the application to work faster?
Courage, experience, and monitoring tools
- Where it resides?
Courage, experience, and profiling tools
- How to change it to stop messing with performance?
Courage, experience, your brain, and your favorite IDE

Top-Down Approach (classic)



System Level

- Network, Disk, CPU/Memory, OS

Application Level

- Algorithms, Synchronization, Threading, API

Microarchitecture Level

- Code/data alignment, Caches, Pipeline stalls

Top-Down Approach (Java)



System Level

- Network, Disk, CPU/Memory, OS

JVM Level

- GC, JIT, Classloading

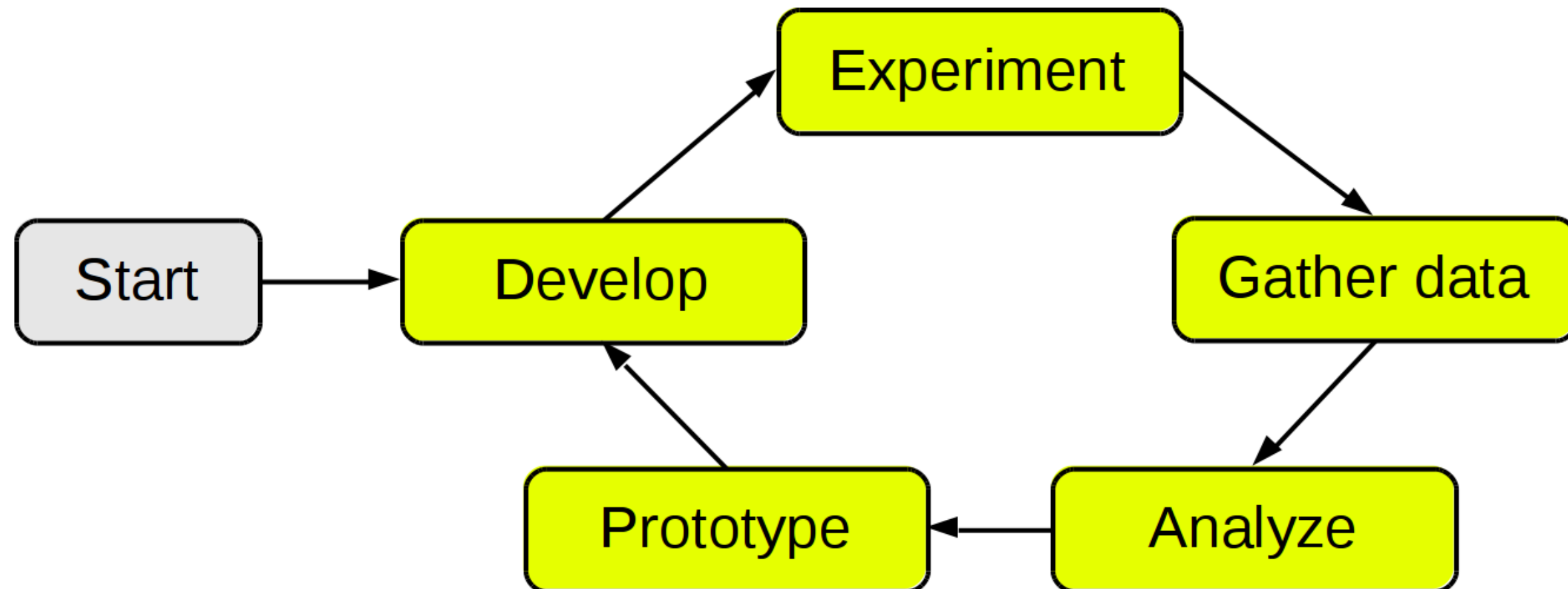
Application Level

- Algorithms, Synchronization, Threading, API

Microarchitecture Level

- Code/data alignment, Caches, Pipeline stalls

+ Iterative Approach

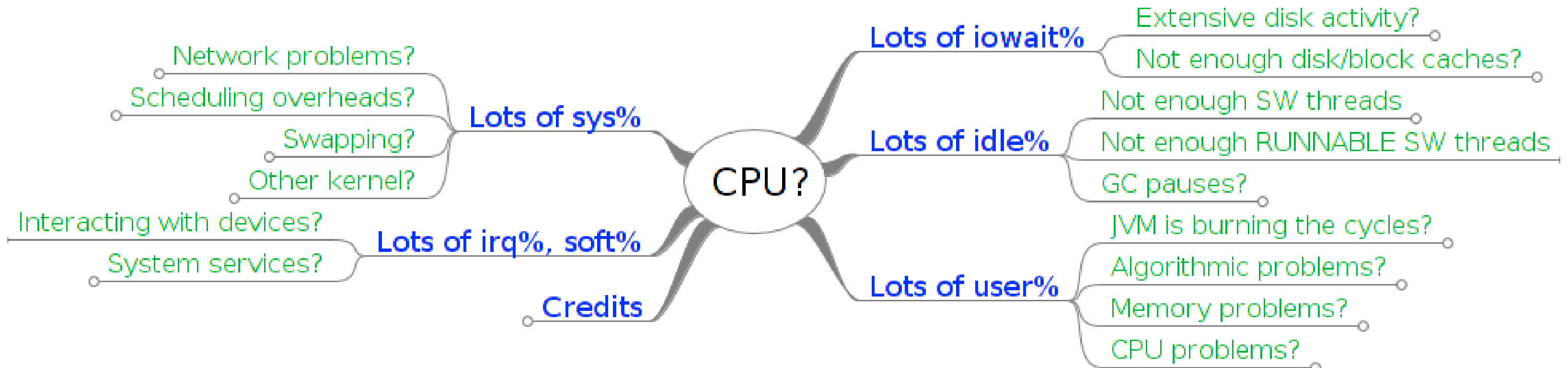


- Start new phase when functional tests are **passed**
- **Single** change per cycle
- Document the changes



System
Level

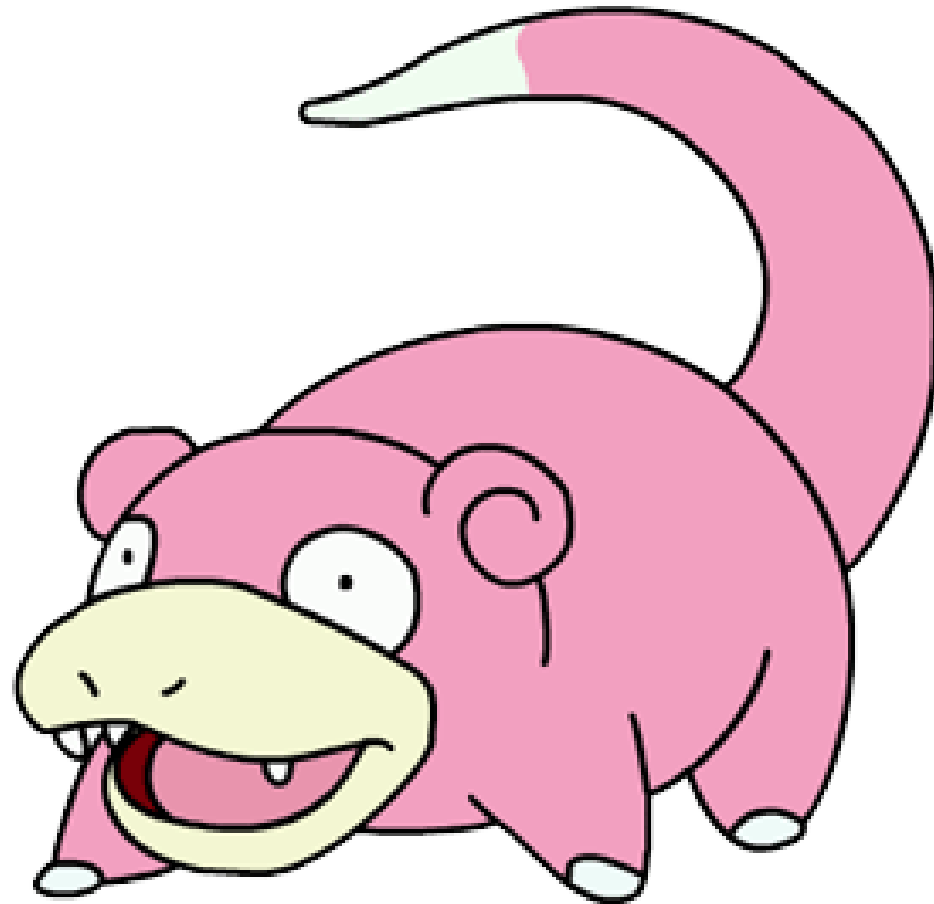
System Level (CPU)



The entry point is CPU utilization!

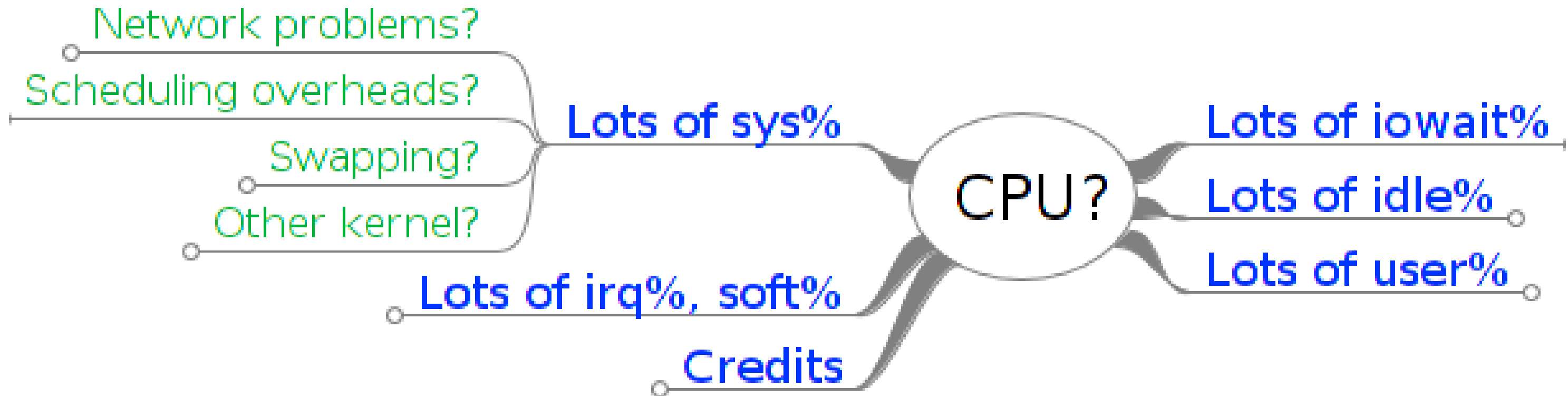
- Then, you have multiple things to test for
- Depending on **sys%**, **irq%**, **iowait%**, **idle%**, **user%**
- Need tools to examine each particular branch

Demo 3



First dive into the monitoring

System Level (sys%)



Not particularly the application code fault

- Most obvious contender is network I/O
- Then, scheduling overheads
- Then, swapping
- Then, in minor cases, other kernel

System Level (sys%, network)

TOOL: netstat, sar, iptraf, bwm-ng

AR: Reduce the traffic, packet count 

AR: Compression 

AR: Bufferization, BDP 

AR: MTU 

AR: Faster hardware/links 

AR: Virtual interfaces 

Network problems?

Lots of sys%

One of the major contributors to sys%

- In many cases, hardware/OS configuration is enough
- In other cases, application changes might be necessary

System Level (sys%, scheduling)

TOOL: vmstat, mpstat, sar

AR: Reduce the amount of worker threads 

AR: Less context switches 

AR: Scheduling groups, quanta adjustments, priority 

Scheduling overheads?

Lots of sys%


The symptom of the unbalanced threading


- Lots of voluntary context switches (thread thrashing)
- Lots of involuntary context switches (over-saturation)

System Level (sys%, swapping)

TOOL: top, sar

AR: Constrain the usage of physical memory 

AR: Decrease memory per process 

AR: Swappiness 

AR: Lock pages in memory 

AR: Compress swap 

Swapping?

Lots of sys%

Swapping is the killer for Java performance

- The target is to avoid swapping at all costs
- Swapping out other processes to save the memory is good

System Level (sys%, other)

TOOL: strace, perf, oprofile

AR: Time spent in other kernel? 

AR: Time spent in kernel-space with locking 

AR: Kernel bugs? 

Other kernel?

Lots of sys%

Sometimes kernel is your enemy

- Unusual API choices from the JVM and/or application
- (Un)known bugs

System Level (irq%, soft%)

TOOL: mpstat, sar

AR: Interrupt offload 

AR: IRQ balancing 

Interacting with devices?

Lots of irq%, soft%

Usual thing when interacting with the devices

- Sometimes IRQ balancing is required
- Sometimes IRQ balancing is expensive

System Level (iowait%)

Extensive disk activity?
Not enough disk/block caches?

Lots of iowait%

CPU?

Expected contributor with disk I/O

- Watch for disk activity
- Watch for disk throughput
- Watch for disk IOPS

System Level (iowait%, disk)

TOOL: iostat, sar

AR: Reduce the disk activity 

AR: HW caching/bufferization 

AR: SW caching/bufferization

AR: More disks always help (but not your budget)

Extensive disk activity?

Lots of iowait%

Is that amount of I/O really required?

- Caching, bufferization are your friends
- More (faster) disks can solve throughput/IOPS problems

System Level (iowait%, caches)

TOOL: top, sar

AR: Increase cache memory (reduce other usages) —

AR: Get easy on flush()-es and cache invalidations —

AR: More disks always help (but not your budget)

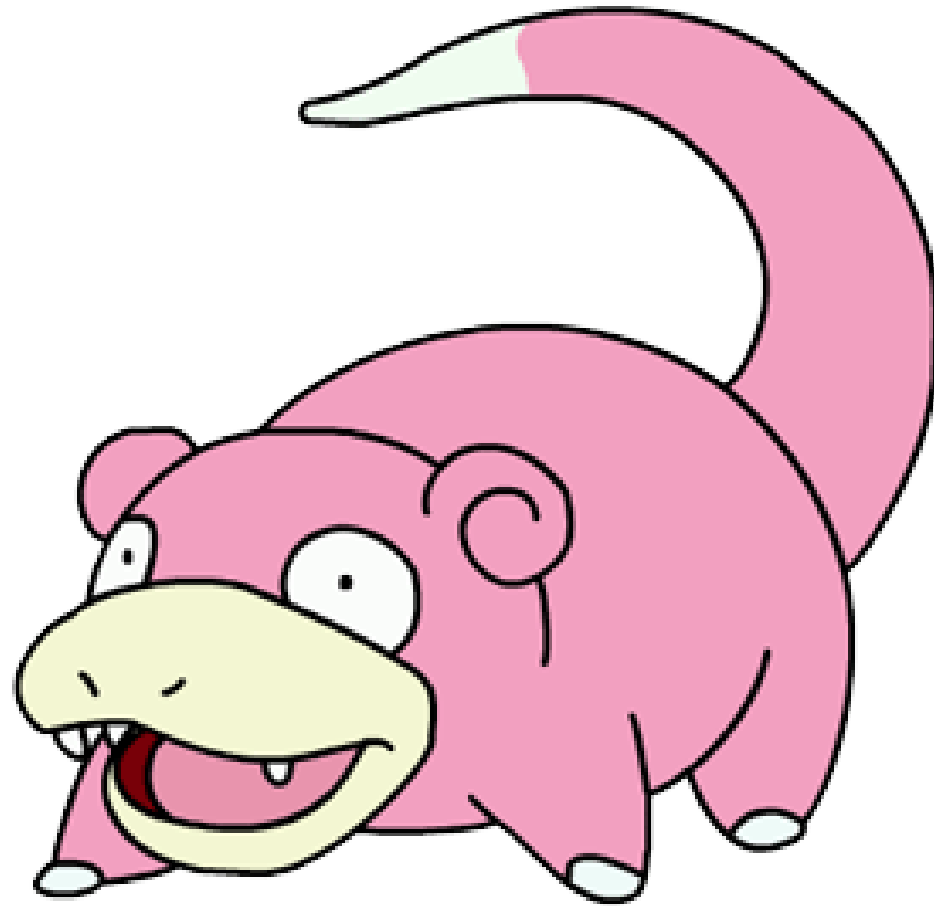
Not enough disk/block caches?

Lots of iowait%

More caching helps?

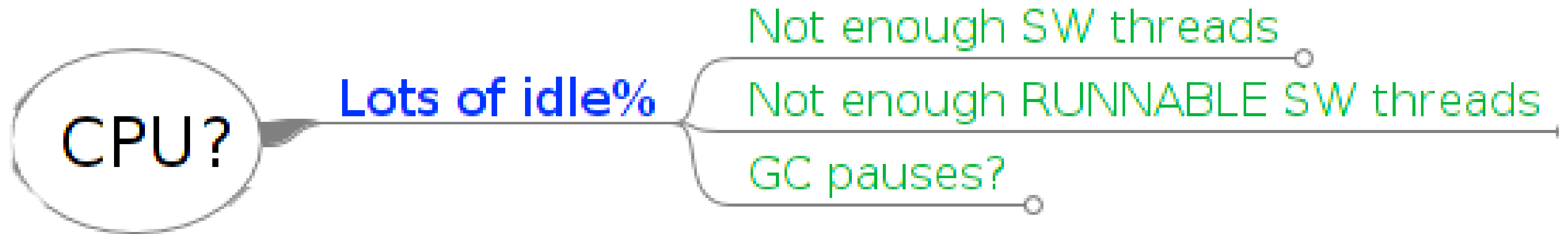
- Reduce other physical memory usages, free up for caches
- Trade in performance over consistency

Demo 4



Fixing the iowait problem → next step

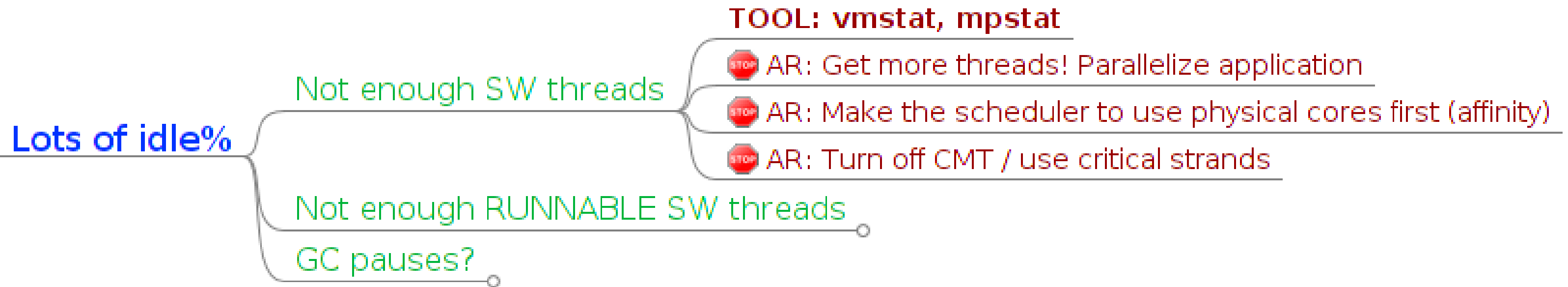
System Level (idle%)



There are resources, but nobody uses them?

- This is admittedly easy to diagnose
- ...and very easy to miss

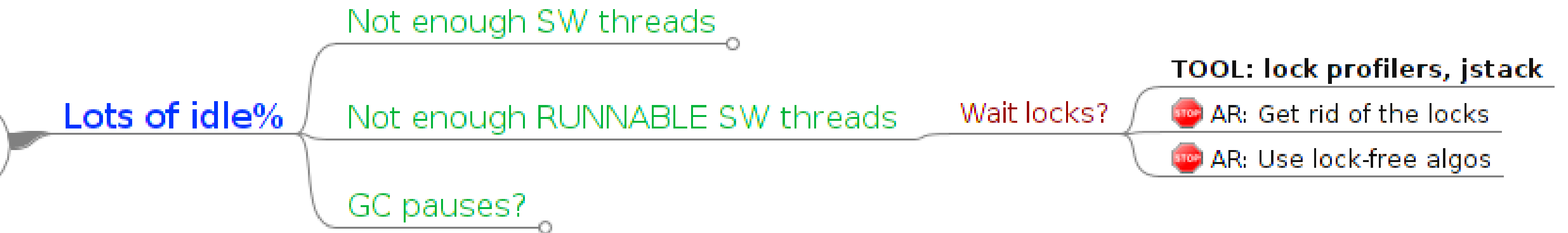
System Level (idle%, threads)



Running low-threaded applications on manycore hosts

- The signal for you to start parallelizing
- Or, reduce the number of available HW strands

System Level (idle%, threads)



There are not enough threads ready to run

- Locking?
- Waiting for something else?

System Level (idle%, GC)

Lots of idle%

Not enough SW threads

Not enough RUNNABLE SW threads

GC pauses?

TOOL: -verbose:gc, etc

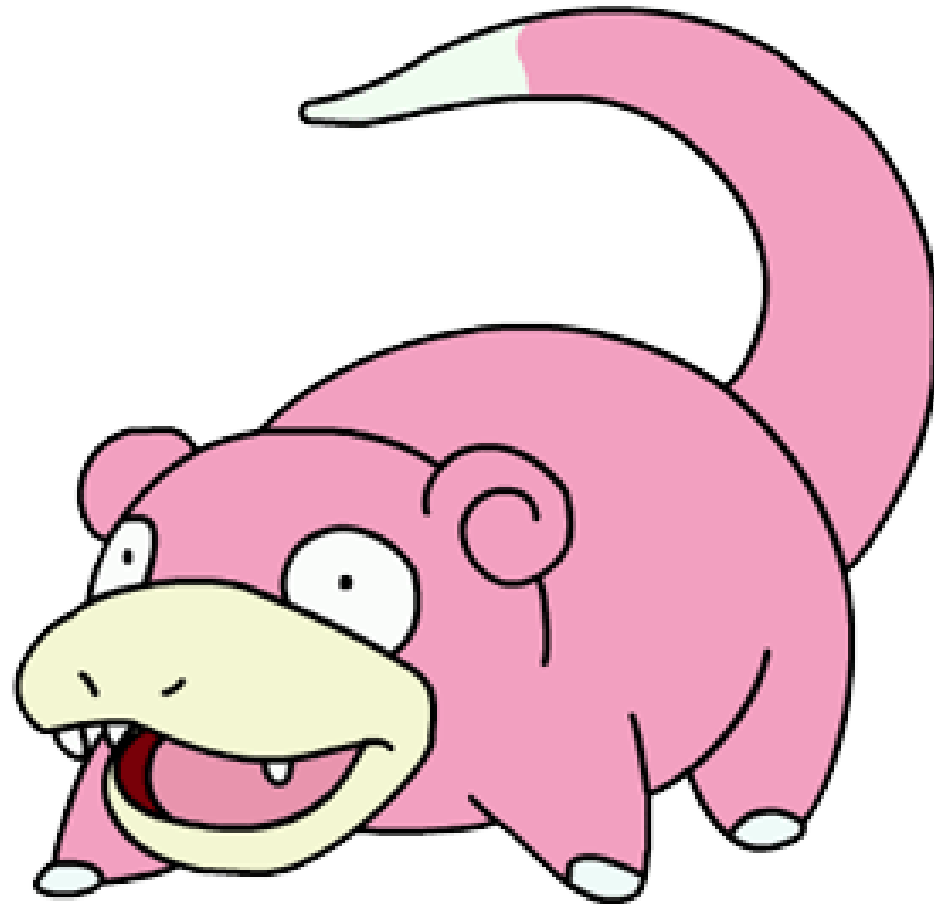
— AR: More threads for GC

— AR: Pause-targeted GC-specific tuning

Very rare, and surprising case

- Application is highly threaded
- GC is frequently running with low thread count
- The average CPU utilization is low

Demo 5

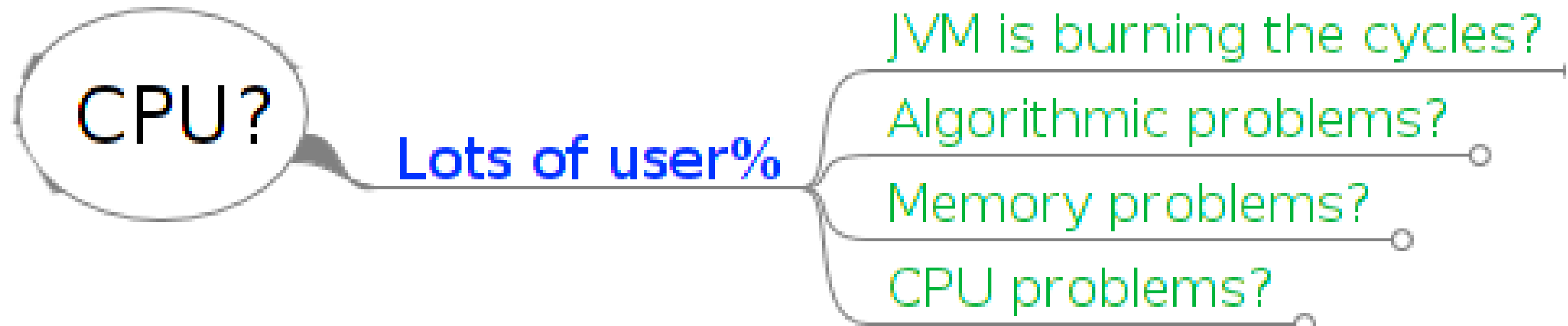


Fixing the idle problem → next step



Application/
JVM Level

Application Level (user%)



Application/JVM is finally busy

- This is where most people start
- This is where profilers start to be actually useful

Application Level (Memory)

Lots of user%

Memory problems?

TLB

Caches

NUMA (NUCA)

Memory bandwidth

Memory

- The gem and the curse of von-Neumann architectures
- Dominates most of the applications (in different forms)

Application Level (TLB)

Memory problems?

TLB

TOOL: Easier to fix and test

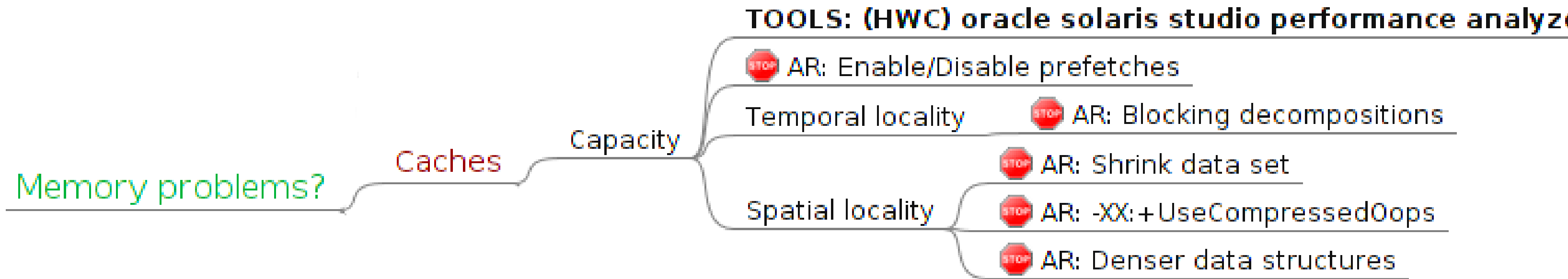
 AR: -XX:+UseLargePages

AR: Large page sizes?

TLB

- Very important for memory-bound workloads
- “Invisible” artifact of virtual memory system

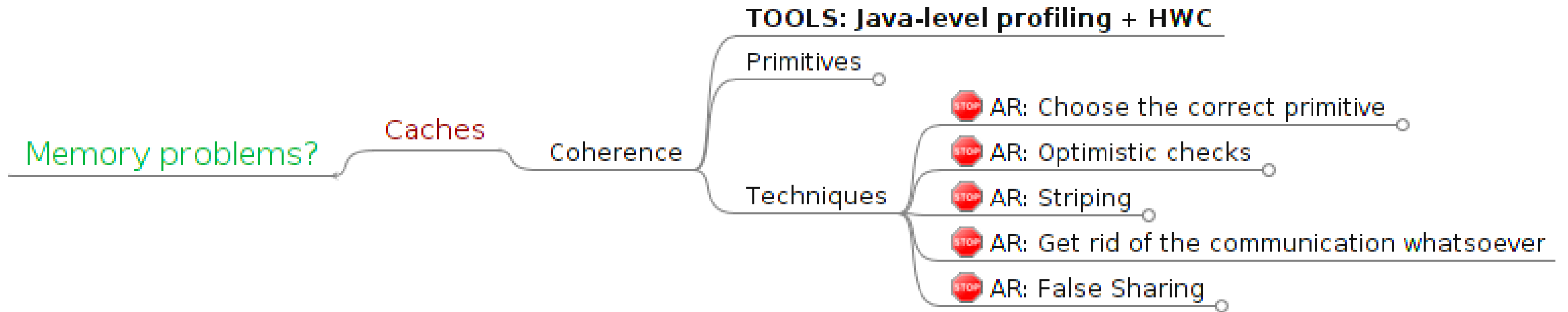
Application Level (Caches)



CPU caches: capacity

- Important to hide memory latency (and bandwidth) issues
- Virtually all applications today are memory/cache-bounded

Application Level (Caches)



CPU Caches: coherence

- Inter-CPU communication is managed via cache coherence
- Understanding this is the road to master the communication

Application Level (Bandwidth)

Memory problems?

Memory bandwidth

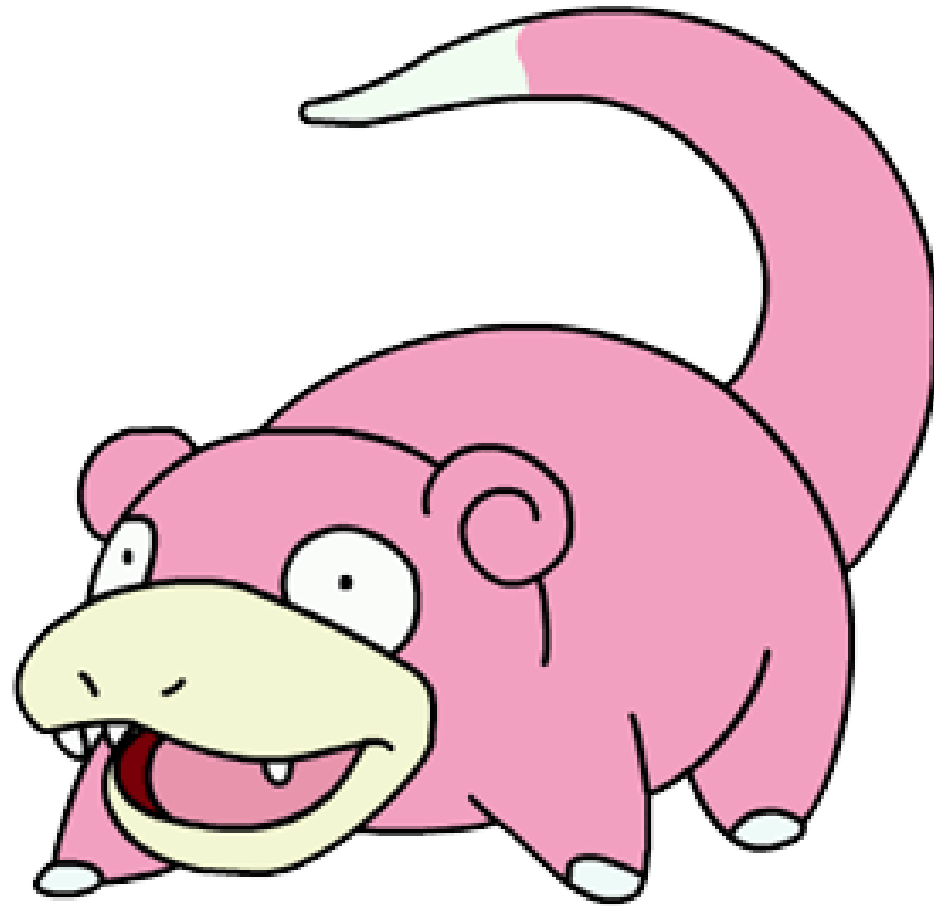
TOOL: busstat, multevent

- STOP AR: More faster memory
- STOP AR: Multiple channels to main memory
- STOP AR: Multiple IMCs to handle the load

Memory Bandwidth

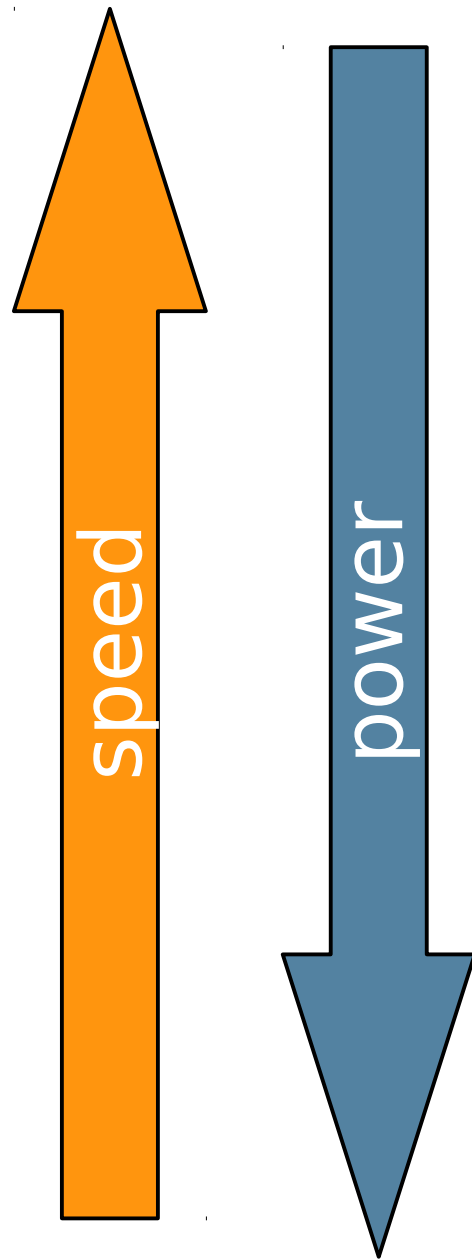
- Once caches run out, you face the memory
- Dominates the cache miss performance
- Faster memory, multiple channels help

Demo 6



Solving the concurrency problem → next step

Coherence: Primitives



Plain unshared memory

Plain shared memory

- Provide **communication**

Volatile

- All above, plus **visibility**

Atomics

- All above, plus **atomicity**

Atomic sections

- All above, plus **group atomicity**

Spin-locks

- All above, plus **mutual exclusion**

Wait-locks

- All above, plus **blocking**

Coherence: Optimistic Checks

It is possible at times to make an optimistic check

- Fallback to pessimistic version on failure
- The optimistic check has less power, but more performant

```
AtomicBoolean isSet = ...;
if (!isSet.get() &&
    isSet.compareAndSet(false, true) {
    // one-shot action
}
```

Coherence: Optimistic Checks

It is possible at times to make an optimistic check

- Fallback to pessimistic version on failure
- The optimistic check has less power, but more performant

```
ReentrantLock lock = ...;
int count = -LIMIT;
while (!lock.tryLock()) {
    if (count++ > 0) {
        lock.lock();
        break;
    }
}
```

Coherence: Striping

It is possible at times to split the shared state

- Much less contention on modifying the **local** state
- The **total** state is the superposition of **local** states

Example: thread-safe counter

- `synchronized { i++; }`
- `AtomicInteger.inc();`
- `ThreadLocal.set(ThreadLocal.get() + 1);`
- `AtomicInteger[random.nextInt(count)].inc();`

Coherence: No-coherence zone

If you can remove the communication, do that!

- Immutability to enforce
- Thread local states

Example: ThreadLocalRandom @ JDK7

- Random: use CAS to maintain the state
- ThreadLocalRandom: essentially, ThreadLocal<Random>
 - Can use plain memory ops to maintain the state

Coherence: (False) Sharing

Communication quanta = cache line

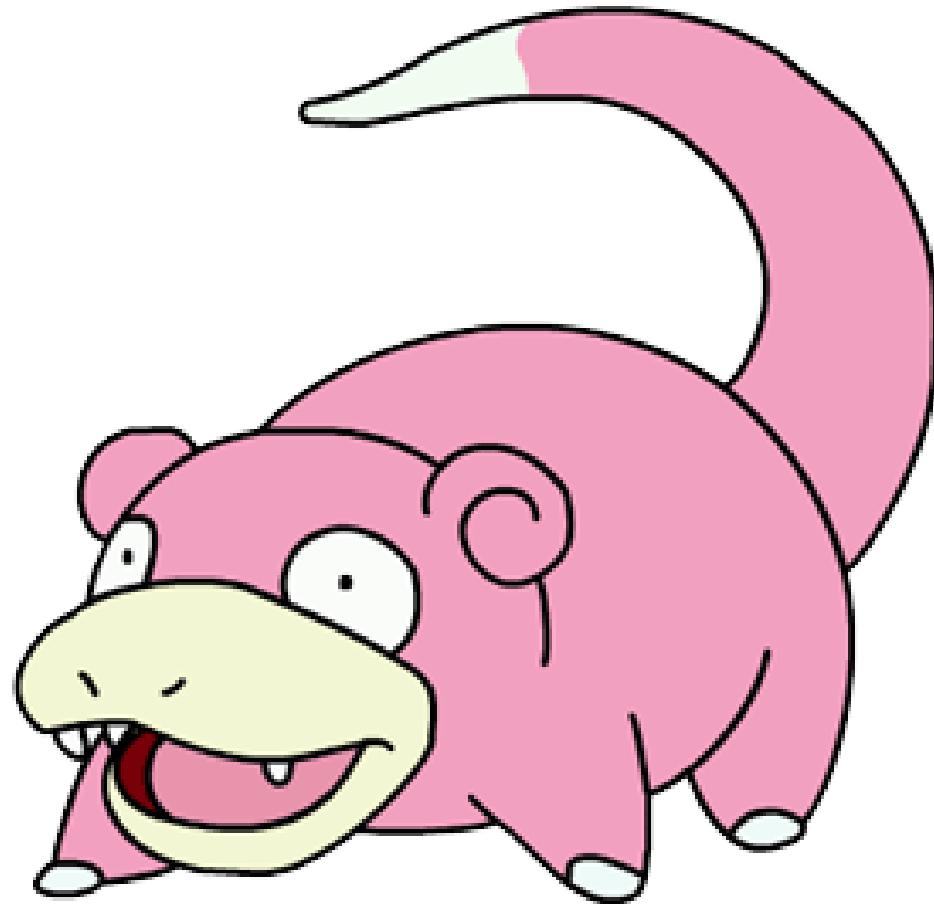
- 32 - 128 bytes long
- Helps with bulk memory transfers, cache architecture
- Coherence protocols working on cache lines

...-----] [-----AA--BB-----] [-----...]

False Sharing

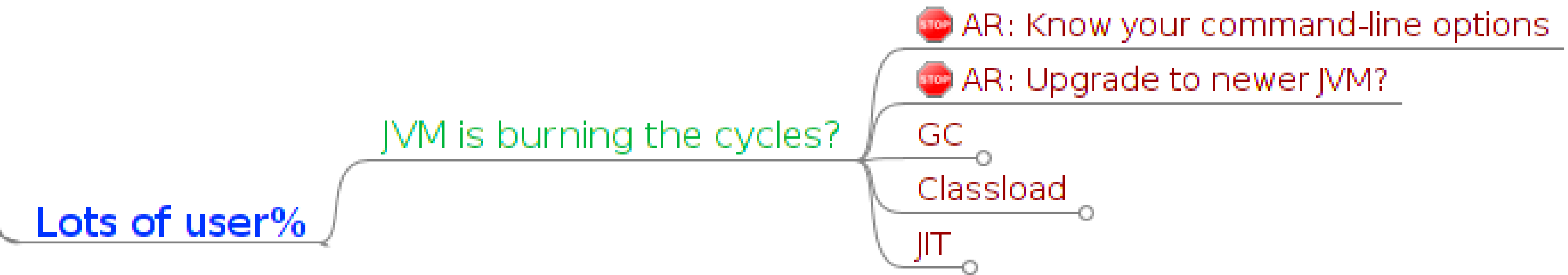
- CPUs updating the adjacent fields?
- Cache line **ping-pong!**

Demo 7



Diagnosing with allocation profiles

JVM Level



JVM is the new abstraction level

- Interacts with the application, mangles into application
- JVM performance affects application performance

JVM Level (GC)

JVM is burning the cycles?

GC

TOOL: `-verbose:gc`, `-XX:+PrintGCDetails`, `VisualGC`

 AR: Tune Java heap, generations, and regions

 AR: Thread stack size

 AR: (Un)usual tuning

GC

- Most usual contender in JVM layer
- Lots of things to try fixing (not covered here, see elsewhere)

JVM Level (JIT)

JVM is burning the cycles?

JIT

TOOL: PrintCompilation, MXBeans

 AR: Choose the compiler

-server

-client

-XX:+TieredCompilation

 AR: Low-level tuning

 AR: Go to OpenJDK ML and ask

JIT

- Very cool to have your code compiled
- Sometimes it's even cooler to get the code compiled **better**

JVM Level (Classload)

JVM is burning the cycles?

Classload

TOOL: `verbose:class`, MXBeans

 AR: Turn off bytecode verification: `--no-verify`

 AR: Turn on CDS: `-Xshare:on`

 AR: Recompile your Java code with updated javac

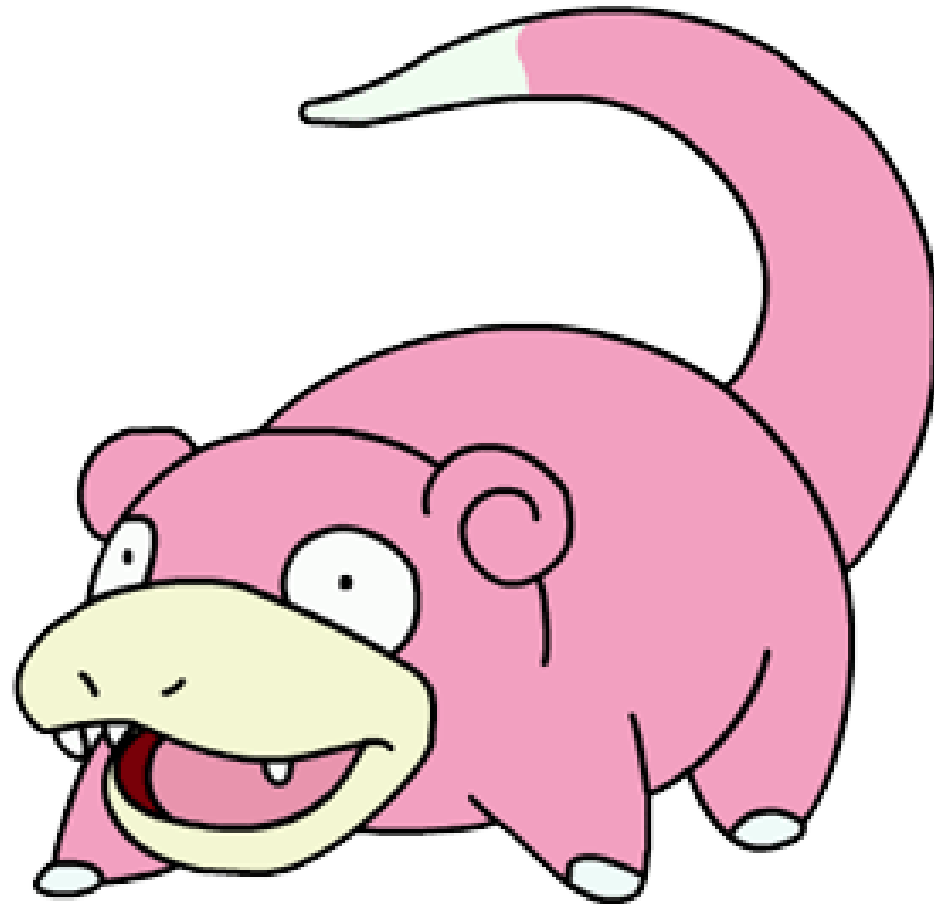
 AR: Increase the size of system dictionary

 AR: Repackage classes into small amount of larger JARs

Classload

- Important for startup metrics; not really relevant for others
- Removing the loading obstacles is the road to awe

Demo 8



Fixing the allocation problem

Application Level

Lots of user%

Algorithmic problems?

Algorithmic complexity

Caching/Memoizing

Busy-waiting

Batching and work scheduling

Application level

- In many, many cases, silly oversights in algorithms use
- Cargo cult of approaches, patterns, code reuse

Application Level (Algos)

TOOL: Profilers + Brain

Algorithmic complexity

STOP AR: Pick the algorithm with lower complexity

STOP AR: Pick the algorithm with lower constants

Algorithmic problems?

Algorithmic Complexity

- Figuring out the straight-forward code has huge complexity
- Sometimes, the low-O code is slower than high-O code

Application Level (Caching)

Algorithmic problems?

Caching/Memoizing

TOOL: Profilers + Brain

 AR: Memoize the results where appropriate

 AR: Use new objects where appropriate

 AR: For (distributed) caching the record size should be smaller

Application Caching

- Seems to be the answer to most performance problems?
- In fact, blows up the footprint, heap occupancy, etc

Application Level (Busy-waits)

Algorithmic problems?

Busy-waiting

TOOL: Profilers + Brain

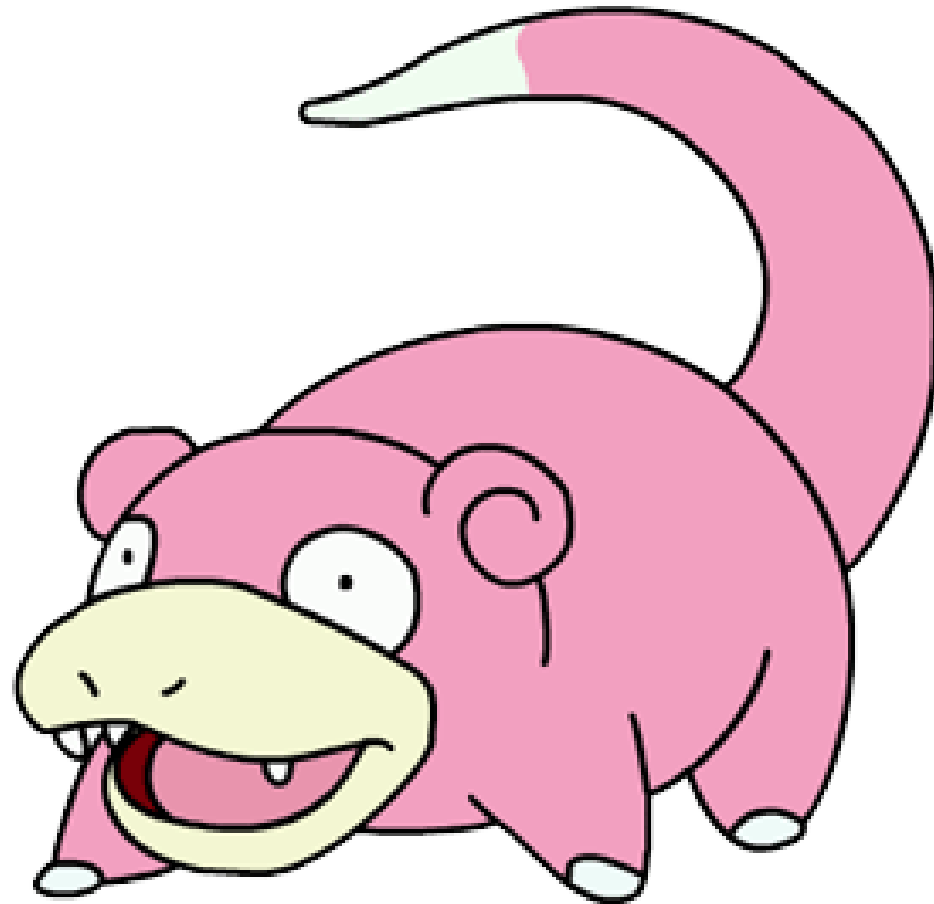
 AR: Replace polling with timed waits

 AR: Replace spinloops with spin-then-block

Application Busy-Waits

- The natural instinct: blocked waits (with helping)
- For latency-oriented: busy-waits are profitable

Demo 9



Analyzing with execution profiles

uArch Level (CPU)

Lots of user%

CPU problems?

Not enough CPU frequency?

Not enough Execution Units?

ILP depleted?

CPU

- Most applications are not getting here
- A very simple capacity problem

uArch Level (CPU, frequency)

CPU problems?

Not enough CPU frequency?



AR: Overclocking

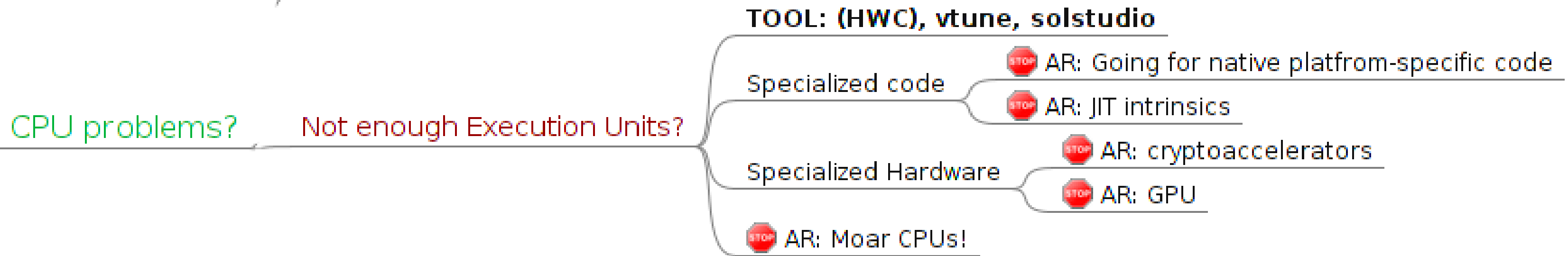


AR: CPU frequency governors

CPU Frequency

- Exception: affects the memory/speculating performance
- How many servers out there are running with “ondemand”?

uArch Level (CPU, EU)



CPU, Execution Units

- Heavily-threaded hardware shares the CPU blocks
- Easy to run out of specific units with the homogeneous work

uArch Level (CPU, ILP)

CPU problems?

ILP depleted?

TOOL: (HWC) solstudio, vtune

STOP AR: less branches?

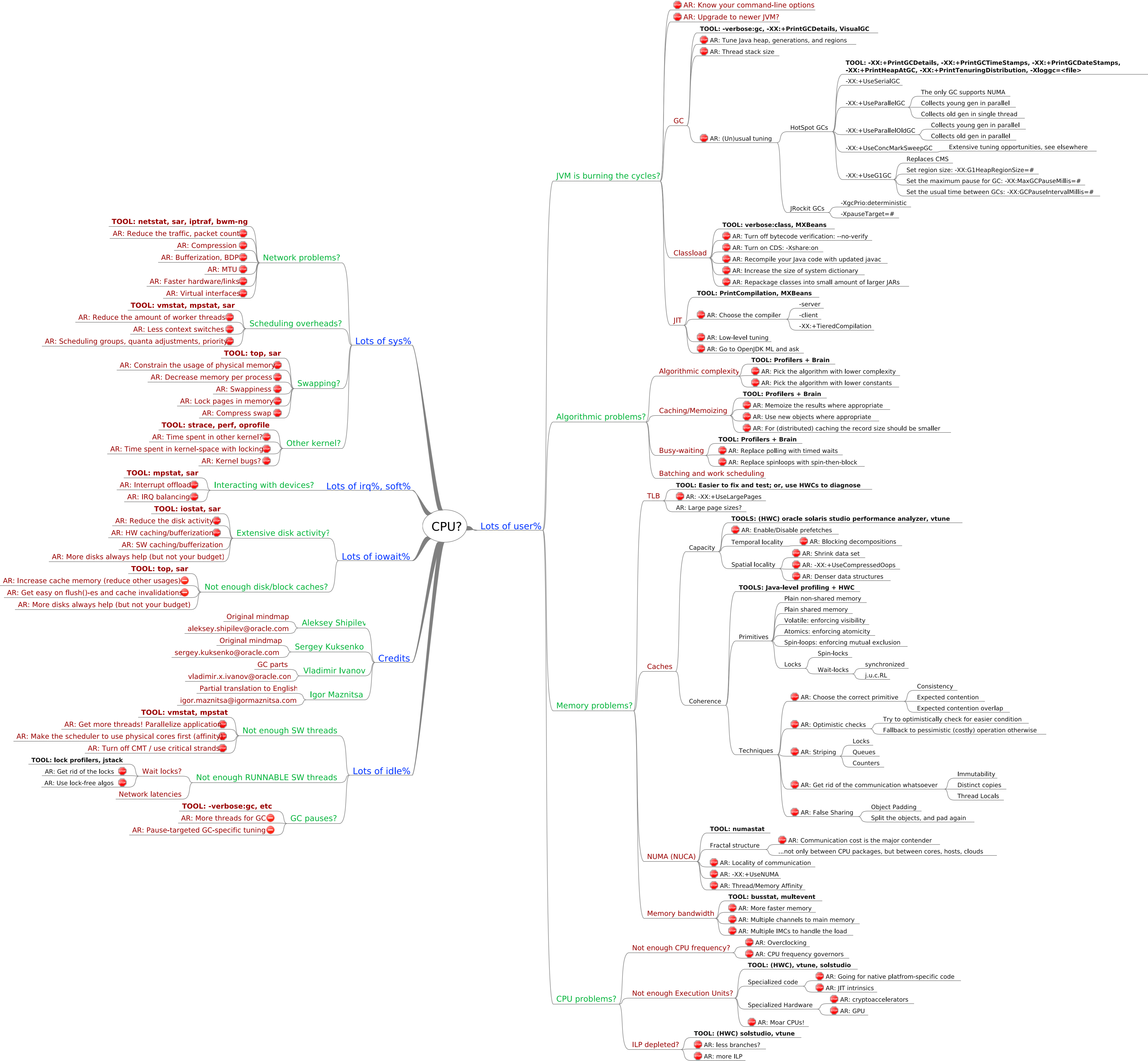
STOP AR: more ILP

Instruction Level Parallelism

- CPUs speculate aggressively
- Exposing less dependencies in the code help to speculate



Closing Thoughts





Q & A

DEVOXX™
the java™ community conference



Definitions

Utilization = how busy the resource is?

$$Utilization = \frac{ResourceBusyTime}{TotalTime}$$

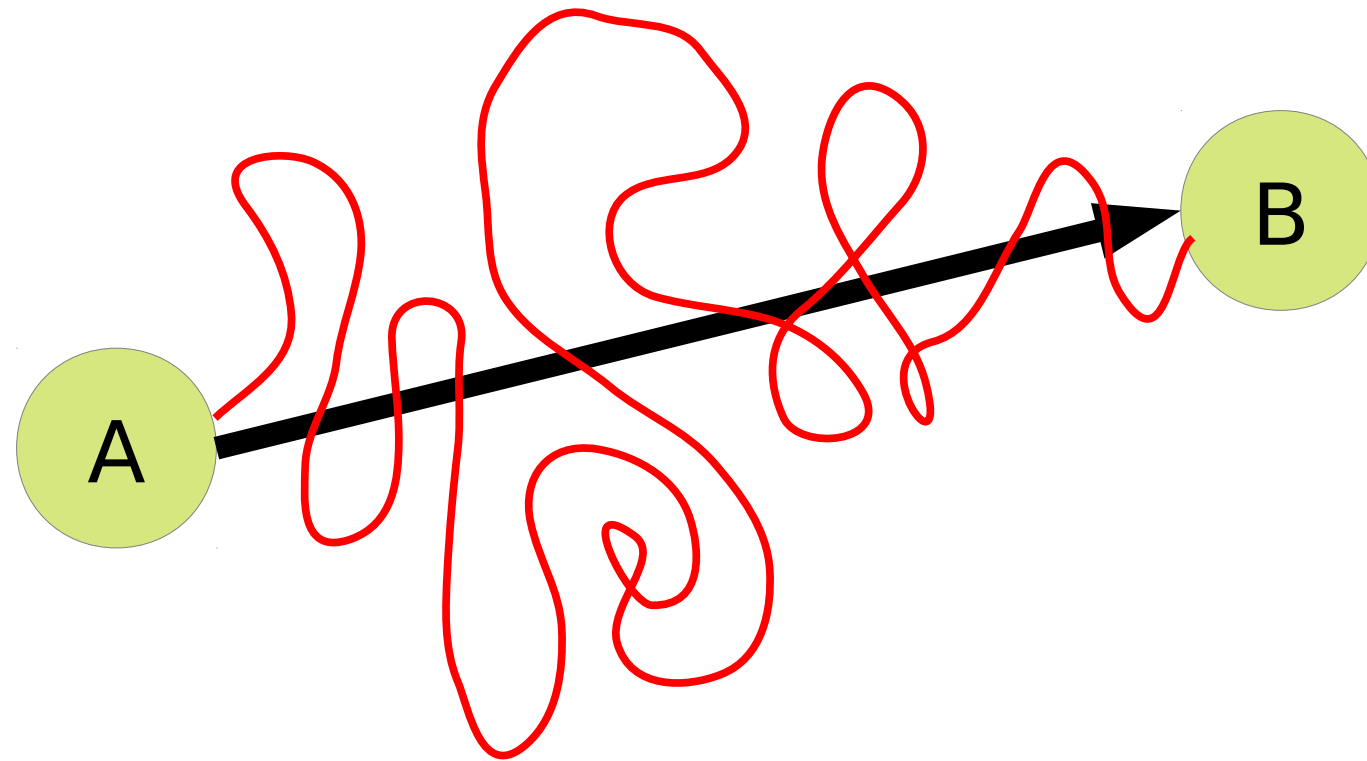
Idle = how free the resource is?

$$IdleTime = 1 - Utilization$$

Definitions

Efficiency = How much time is spent doing **useful work**?

- Not really possible to measure
- High Utilization \neq High Efficiency



Definitions

SpeedUp = A is N times faster than B means:

$$SpeedUp = \frac{time(B)}{time(A)} = \frac{throughput(A)}{throughput(B)}$$

Definitions

%Boost = A is P% faster than B means:

$$SpeedUp = 1 + \frac{n}{100\%}$$

$$Boost\% = (SpeedUp - 1) * 100\%$$

$$Boost\% = \frac{time(B) - time(A)}{time(A)}$$

$$Boost\% = \frac{throughput(A) - throughput(B)}{throughput(B)}$$

Definitions

Performance

= **Scalar Field in Config Space**

$$P: K^n \rightarrow \mathbb{R}$$

Scalability

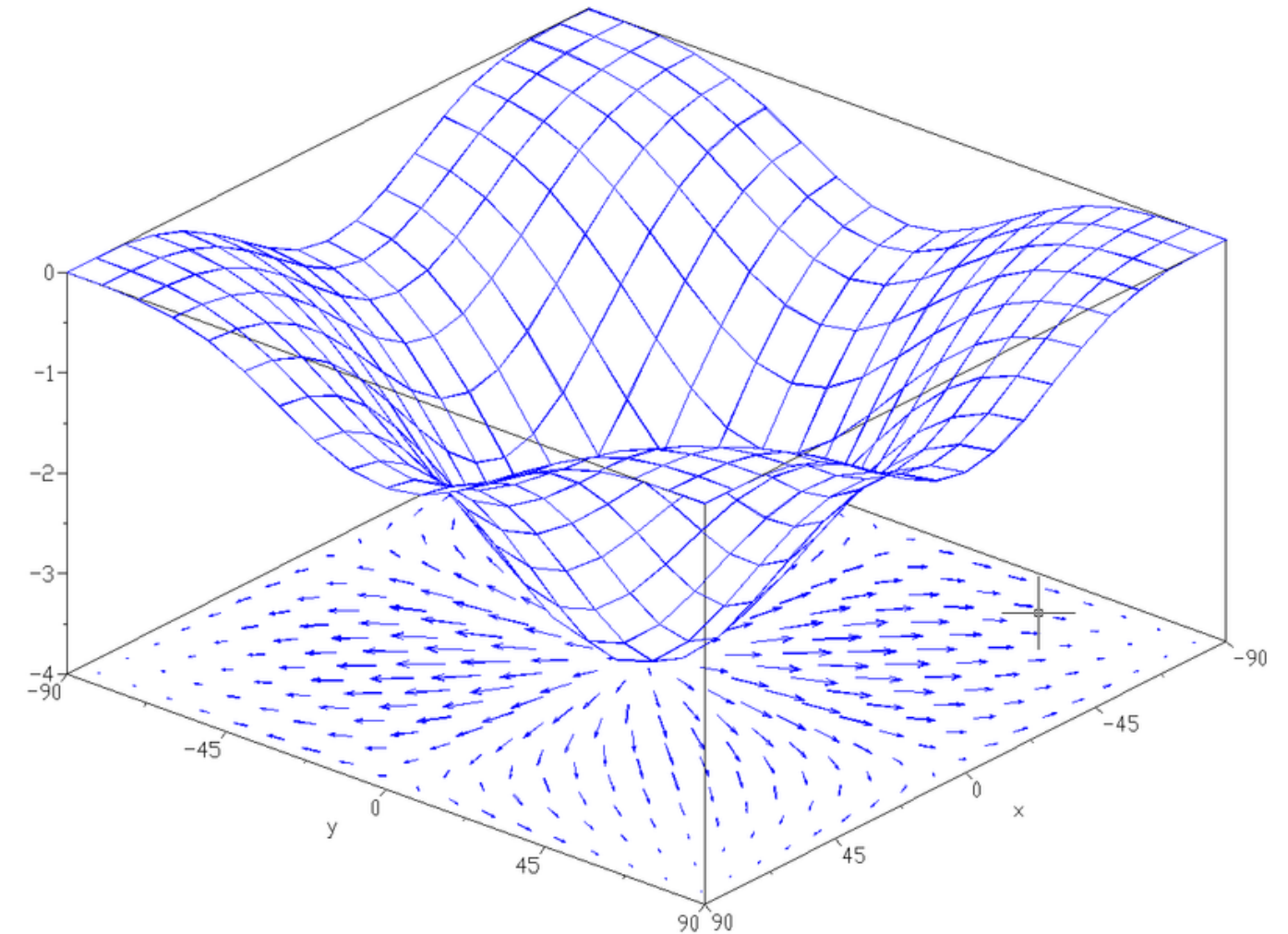
= **Gradient of PSF**

$$S = \nabla P$$

Resource Scalability

= **specific component in SC vector**

$$S_i = \frac{\partial P}{\partial R_i}$$



source: <http://en.wikipedia.org/wiki/Gradient>

Optimization Task

The configuration space can be humongous

- You don't want to traverse it all
- Or, you **do** want to exhaustive search if space is small

Random walks are inefficient

- Need to estimate the gradient in all N dimensions
- Means $2*N$ experiments per each step

Local estimates to rescue!

- Can predict if P would grow, should we add **specific** resource
- This is where the bottleneck analysis steps in

First step (mistakes)

We frequently hear:

- “I see the method foo() is terribly inefficient, let's rewrite it”
- “I see the profile for bar() is terribly high, at 5%, let's remove it”
- “I think our DBMS is a slowpoke, we need to migrate to [buzzword]”

Correct answer:

- Choose the metric!
- Make sure the metric is relevant!
- Your target at this point is improving the metric

Second step mistakes

“I can see the method foo() is terribly inefficient, let's rewrite!”

- ...what if the method is not used at all
- ...what if it accounts for just a few microseconds of time
- ...what if it does account for significant time, but...

Actually, not a bad idea

- ...as the part of **controlled experiment**
- ...if the changes are small, isolated, and painless to make

Second step mistakes

“I can see the method bar() accounts for 5% of time, let's remove it!”

- ...what if the CPU utilization is just 6.25%?
- ...what if this method pre-computes something reused later?
- ...what if this method is indeed problematic, but...

Second step mistakes

“I think our database is the problem! Let's migrate to [buzzword]!”

- ...what if the you just depleted the disk bandwidth?
- ...what if your IT had shaped the network connection?
- ...what if your poor database just needs a cleanup?
- ...what if the database is indeed the bottleneck, but...

TLBs Detailed

Virtual memory operates on virtual addresses

- But hardware needs **physical** addresses to access memory
- Needs virtual → physical translation
- Tightly cooperates with OS (walks through page tables)

Extreme cost to do a single translation

- Happens on each memory access
- Let's cache the translated addresses!
- **TLB = Translation Look-aside Buffer**
- Granularity: single memory page

TLB caches should be ultra-fast → TLBs are very small

- The solution is the other way around: **larger pages**